213/

RECEIVED

SEP 2 6 2003

Technology Center 2100

Serial No. 09/683,507

1

A5

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

n re Application of:

Rowland et al.

09/683,507

0...24...4 00 42

Serial No.: Filing Date:

January 10, 2002

Group Art. Unit:

2131

Title:

COMPUTER SECURITY AND MANAGEMENT

SYSTEM

Honorable Commissioner

for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Dear Sir:

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" under 37 C.F.R. § 1.10 on the date indicated below and is addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Name

Date of Signature

Express Mail No. EV 324623167 US

INFORMATION DISCLOSURE STATEMENT

Applicants respectfully request, pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, that the references listed on the attached PTO-1449 be considered and cited in form patent above-identified application. of the examination Copies of these references are enclosed for the convenience of Furthermore, pursuant to 37 C.F.R. § 1.97(h), the Examiner. no representation is made that these references qualify as prior art or that these references are material to the patentability of the present application.

Enclosed is a copy of a PCT International Search Report identifying the references contained in this Information Disclosure Statement. The PCT International Search Report has

2

a mailing date of September 5, 2003. Therefore, I hereby certify that the references contained in this Information Disclosure Statement were cited for the first time in a communication from a foreign patent office in a related foreign application not more than three months prior to the filing of this Information Disclosure Statement. Furthermore, in the event a patent term adjustment is requested, pursuant to the requirements of 37 C.F.R. § 1.704, Applicants submit that the references contained in this Information Disclosure Statement were received from a foreign patent office in a related foreign application not more than thirty (30) days prior to the filing of this Information Disclosure Statement.

Pursuant to 37 C.F.R. § 1.97(c), Applicants believe that this Information Disclosure Statement has been filed before the mailing date of a final action or notice of allowance. Therefore, Applicants believe that no fee is due. However, if a fee is required, the Commissioner is hereby authorized to charge any fees or credit any overpayments to Deposit Account No. 02-0384 of Baker Botts L.L.P.

Respectfully submitted,

BAKER BOTTS L.L.P.

Attorneys for Applicants

#howalter artoh No. 88. N3 0 2

Reg.

2001 Ross Avenue Dallas, Texas 75201-2980 (214) 953-6509

CORRESPONDENCE ADDRESS:

Customer Number:

05073

PT	O-14	149.			Application No. 062891.0918			Applicant(s) Rowland et al.		
In an Application				Docket Numb 062891.0	imber Group Art Unit		ı	Filing Date January 10, 2002		
	. 63	DOCUMENT NO.		U.S. PATENT	DOCUME	ENTS				
TRAD	ENGE	DOCUMENT NO.	DATE	NAM	E	CLASS	SUBCLAS	S FILIN	G DATE	
	A	6,088,804	07/11/2000	Hill et	al.	713	201 01/12/1998		2/1998	
	В									
	С									
	D									
	E									
	F						RECEIVED			
	G						SEP-2 6-2003			
	H							gy Center 2)100	
	I						160111010	gy Certici z	-100	
	J									
	K									
	L			<u> </u>						
			F	OREIGN PATE	NT DOCU	MENTS				
		DOCUMENT NO.	DATE	COUNT	CRY	CLASS	SUBCLAS	SS		
	M	WO 03/029934 A1	04/10/2003	PCT		G06F1/00	H04L29/06	YES X	NO	
	N			-						
	0									
	P									
	Q									
	DOCUMENT (Including Author Title Course and Postingst Pages)								DATE	
	R									
		Purdue University supported by contract from Maryland Procurement Office and by sponsors of the COAST Laboratory, December 1998, 12 pages.							December 1998	
	S	Jansen et al., "Applying Mobile Agents to Intrusion Detection and Response," <i>National Institute of Standards and Technology Computer Security Division</i> , October 1999, 49 pages.							October 1999	
	T								September 5, 2003	
EX	ΑМП				DATE CO	ONSIDERED				
		ER: Initial if citation conside			 nce with MPEP	§ 609. Draw line th	rough citation if r	not in conforman	ce and not	
cons	sidered	. Include copy of this form v	vith next communi	cation to the applicant.		U.S	S. PATENT AND	TRADEMARI	K OFFICE	